## SAT-Based Rigorous Explanations for Decision Lists

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eXplainable AI

## eXplainable AI

## Machine Learning System



## This is a cat.

## Current Explanation

This is a cat:

- It has fur, whiskers, and claws.
- It has this feature:


XAI Explanation

## Why? Status quo...

|  | A parrot | Machine learning <br> algorithm |
| :--- | :---: | :---: |
| Learns random <br> phrases |  |  |
| Doesn't understand <br> s**t about what it <br> learns |  |  |
| Occasionally <br> speaks nonsense |  |  |

# interpretable ML models 

e.g. decision trees, lists, sets

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posthoc explanation of ML models "on the fly"

## rule-based models

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## "transparent" and easy to interpret

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come in handy in XAI
but...

## Decision trees aren't interpretable

$$
f\left(x_{1}, \ldots, x_{n}\right)=\bigvee_{i=1}^{n / 2} x_{2 i-1} \wedge x_{2 i}, \text { with } n=4
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instance $v=(1,0,1,1)-4$ literals in the path actual explanation $x_{3}=1 \wedge x_{4}=1-2$ literals

## DL explainability

classifier $\tau: \mathbb{F} \rightarrow \mathcal{K}$, instance $\mathbf{v}$ s.t. $\tau(\mathbf{v})=\mathbb{c}$

## AXps and CXps

classifier $\tau: \mathbb{F} \rightarrow \mathcal{K}$, instance $v$ s.t. $\tau(v)=c$

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\begin{gathered}
\text { abductive explanation } X \\
\forall(\mathbf{x} \in \mathbb{F}) \cdot \bigwedge_{\mathbf{j} \in X}\left(\mathbf{x}_{\mathbf{j}}=\boldsymbol{v}_{\mathfrak{j}}\right) \rightarrow(\boldsymbol{\tau}(\mathbf{x})=\mathbf{c})
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contrastive explanation $y$
$\exists(x \in \mathbb{F}) \cdot \bigwedge_{j \notin \mathcal{y}}\left(x_{j}=v_{j}\right) \wedge(\tau(x) \neq c)$

## DL example and duality

$$
\mathbb{F}=\{\mathbf{0}, \mathbf{1}, \mathbf{2}\}^{5} \quad \mathcal{K}=\{\Theta, \oplus\}
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| $\mathrm{R}_{0}:$ | IF | $x_{1}=1 \wedge x_{2}=1$ | THEN $\ominus$ |
| :--- | :--- | ---: | :--- |
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$\operatorname{AXps} \mathbb{X}=\{\{\mathbf{1}, \mathbf{2}\},\{\mathbf{3}\}\}$
CXps $\mathbb{Y}=\{\{\mathbf{1}, \mathbf{3}\},\{\mathbf{2}, \mathbf{3}\}\}$

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\mathbf{A X p s} \mathbb{X} & =\{\{\mathbf{1}, \mathbf{2}\},\{\mathbf{3}\}\} \\
\mathbf{C X p s} \mathbb{Y} & =\{\{\mathbf{1}, \mathbf{3}\},\{\mathbf{2}, \mathbf{3}\}\}
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minimal hitting set duality!

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IM query:
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see paper for details!

Computing an AXp is hard for decision lists and sets

## decision lists:

finding an $A X p$ is not polytime unless $P=N P$

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in contrast to decision trees!

## Propositional encoding

(see paper for notation and details)

## rule $\mathfrak{j} \in \mathfrak{R}$ fires:

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\boldsymbol{\varphi}(\mathfrak{j}) \triangleq\left(\wedge_{k \in \mathfrak{R}, \mathfrak{o}(\mathrm{k})<\mathbf{o}(\mathrm{j})} \neg \mathfrak{l}(\mathrm{k})\right) \wedge \mathfrak{l}(\mathfrak{j})
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\mathcal{S} \triangleq I_{v} & \mathcal{H} \triangleq \bigvee_{j \in \mathfrak{R}, c(j)=c(i)} \varphi(j)
\end{array}
$$

instance v , prediction $\mathfrak{c}(\mathfrak{i})$ :

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## AXps are MUSes

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## Experimental results

## Experimental setup

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- SAT encoding:
- 7-15340 variables
- 9-3932987 clauses


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- minimum hitting sets - RC2 MaxSAT
- XP reduction - deletion-based linear search


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MARCO-like setup - targeting AXps may pay off
direct CXp enumeration is slower (too many XPs?)

## Results - AXps vs. CXps


(a) total number of AXps and CXps

(b) avg. number of $A X p s$ and $C X p s$

(c) avg. explanation size

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per dataset

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16-72838 AXps
1-22.7 AXps
vs. 23-248825 CXps
vs. $1-20.8 \mathrm{CXps}$
per dataset
per instance

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(a) total number of AXps and CXps

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1-15.8 lits per $A X p$
vs. 23-248825 CXps
vs. $1-20.8 \mathrm{CXps}$
vs. $\leq 2.8$ lits per CXp
per dataset
per instance

Summary

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- finding one AXp or CXp
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- explain other ML models with SAT?
- efficiently?


## Questions?

