

# THE MATHEMATICAL THEORY OF CONTEXTUALITY

## Lecture 3: Quantum realizability

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Categorically, the category of matrices is a monoidal (even compact closed) skeleton of the category of finite-dimensional Hilbert spaces.

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If we represent qubit space with a standard basis  $\{|0\rangle, |1\rangle\}$ , then  $n$ -qubit space has basis

$$\{|s\rangle : s \in \{0, 1\}^n\}$$

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- The possible outcomes of an observable  $A = \sum_i \lambda_i P_i$  are given by the eigenvalues  $\lambda_i$ .
- The probability of getting the outcome  $\lambda_i$  when measuring  $A$  on the state  $Q$  represented by  $|\psi\rangle$  is given by the **Born rule**:

$$\text{Tr}(P_i Q) = |\langle e_i | \psi \rangle|^2$$

where  $e_i$  represents the rank-1 projector  $P_i$ .

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We shall stick to the simplest level of presentation . . .

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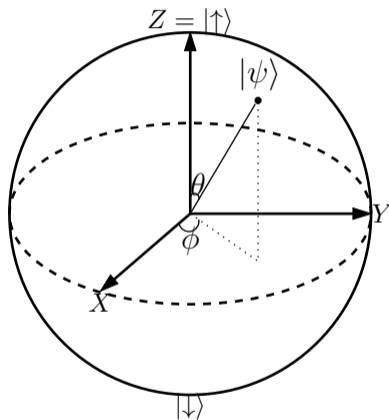
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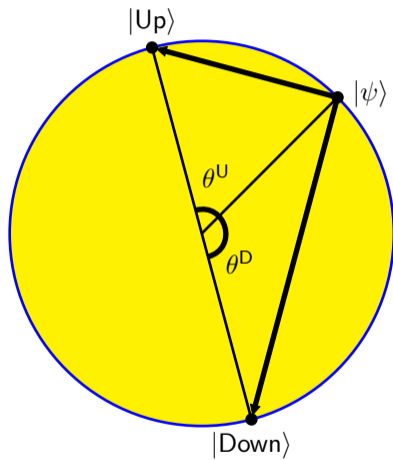
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Developments such as **device-independent QKD**.

# The Bloch sphere representation of qubits



# Truth makes an angle with reality



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- When we subject a qubit to a measurement (Up, Down), the state of the qubit determines a probability distribution on the two possible outcomes. The probabilities are determined by the **angles** between the qubit state  $|\psi\rangle$  and the points ( $|\text{Up}\rangle, |\text{Down}\rangle$ ) which specify the measurement. In algebraic terms,  $|\psi\rangle$ ,  $|\text{Up}\rangle$  and  $|\text{Down}\rangle$  are unit vectors in the complex vector space  $\mathbb{C}^2$ , and the probability of observing Up when in state  $|\psi\rangle$  is given by the square modulus of the inner product:

$$|\langle\psi|\text{Up}\rangle|^2.$$

This is known as the **Born rule**. It gives the basic predictive content of quantum mechanics.

# Qubits vs. Bits

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But in addition, we have the important feature that there are a continuum of possible questions we can ask. However, note that on each run of the system, we can only ask **one** of these questions. We cannot simultaneously observe **Up** or **Down** in two different directions. Note that this corresponds to the feature of the scenario we discussed, that Alice and Bob could only look at one their local registers on each round.

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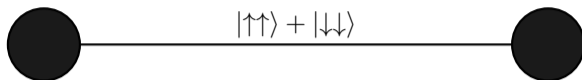
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Note in addition that a measurement has an **effect** on the state, which will no longer be the original state  $|\psi\rangle$ , but rather one of the states **Up** or **Down**, in accordance with the measured value.

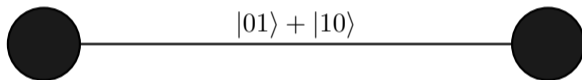
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Bell state:



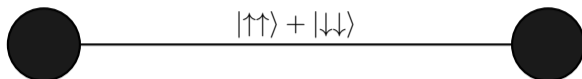
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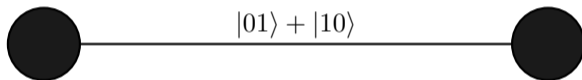


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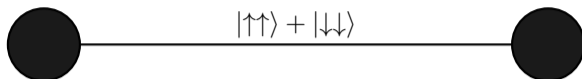
Compound systems are represented by **tensor product**:  $\mathcal{H}_1 \otimes \mathcal{H}_2$ . Typical element:

$$\sum_i \lambda_i \cdot \phi_i \otimes \psi_i$$

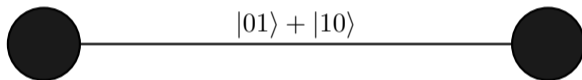
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Einstein's 'spooky action at a distance'. Even if the particles are spatially separated, measuring one has an effect on the state of the other.

# A Probabilistic Model Of An Experiment

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Example: The Bell Model

A	B	(0,0)	(1,0)	(0,1)	(1,1)
$a_1$	$b_1$	$1/2$	0	0	$1/2$
$a_1$	$b_2$	$3/8$	$1/8$	$1/8$	$3/8$
$a_2$	$b_1$	$3/8$	$1/8$	$1/8$	$3/8$
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Generated by Bell state

$$\frac{|00\rangle + |11\rangle}{\sqrt{2}},$$

subjected to measurements in the  $XY$ -plane, at relative angle  $\pi/3$ .

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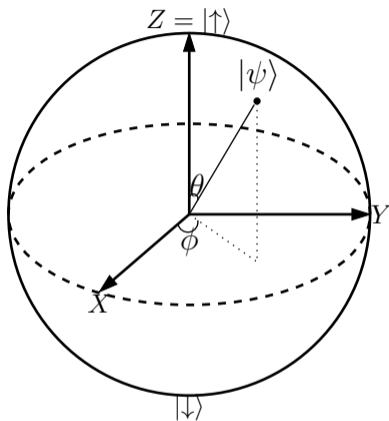
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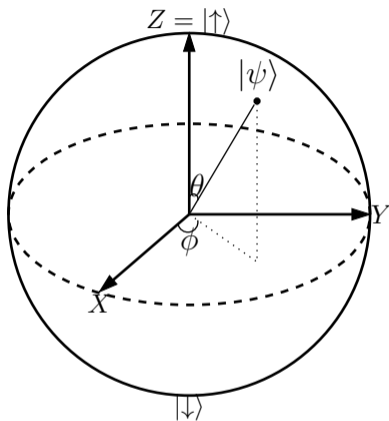
Extensively tested experimentally.

## Computing the Bell table



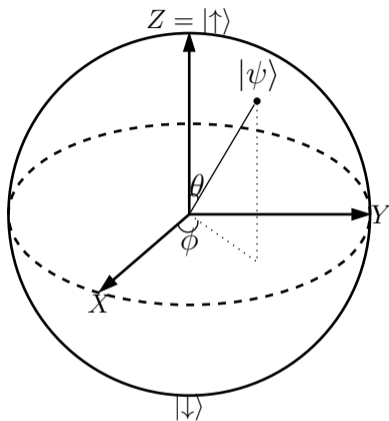


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Spin measurements lying in the equatorial plane of the Bloch sphere  
Spin Up:  $(|\uparrow\rangle + e^{i\phi}|\downarrow\rangle)/\sqrt{2}$ , Spin Down:  $(|\uparrow\rangle + e^{i(\phi+\pi)}|\downarrow\rangle)/\sqrt{2}$

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X itself,  $\phi = 0$ :

Spin Up  $(|\uparrow\rangle + |\downarrow\rangle)/\sqrt{2}$  and Spin Down  $(|\uparrow\rangle - |\downarrow\rangle)/\sqrt{2}$ .

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$$\frac{|\uparrow\rangle + |\downarrow\rangle}{\sqrt{2}} \otimes \frac{|\uparrow\rangle + e^{i4\pi/3}|\downarrow\rangle}{\sqrt{2}} = \frac{|\uparrow\uparrow\rangle + e^{i4\pi/3}|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle + e^{i4\pi/3}|\downarrow\downarrow\rangle}{2}.$$

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Probability of this event  $M$  when measuring  $(a, b')$  on  $B = (|\uparrow\uparrow\rangle + |\downarrow\downarrow\rangle)/\sqrt{2}$  is given by Born rule:

$$|\langle B|M\rangle|^2.$$

# Computing Bell by Born



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Since the vectors  $|\uparrow\uparrow\rangle$ ,  $|\uparrow\downarrow\rangle$ ,  $|\downarrow\uparrow\rangle$ ,  $|\downarrow\downarrow\rangle$  are pairwise orthogonal,  $|\langle B|M\rangle|^2$  simplifies to

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Using the Euler identity  $e^{i\theta} = \cos \theta + i \sin \theta$ , we have

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The other entries can be computed similarly.

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Other attempts by Masanes and Mueller, Brukner and Dakic, the Pavia group (D’Ariano, Chiribella and Perinotti), ...

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Example: The Bell Model

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The PR Box

This satisfies No-Signalling, so is consistent with SR, but it is **not** quantum realisable.

## Empirical models as vectors

We can regard an empirical model  $\{d_C\}_{C \in \mathcal{M}}$  as a vector

$$\mathbf{v} = (\mathbf{v}_{C,s})_{C \in \mathcal{M}, s \in \mathcal{E}(C)}, \quad \mathbf{v}_{C,s} := d_C(s)$$

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Note also that empirical models over a given measurement scenario are closed under convex combinations:

$$\mu d + (1 - \mu)d'_C(s) := \mu d_C(s) + (1 - \mu)d'_C(s).$$

Moreover, convex combinations of compatible models are compatible.

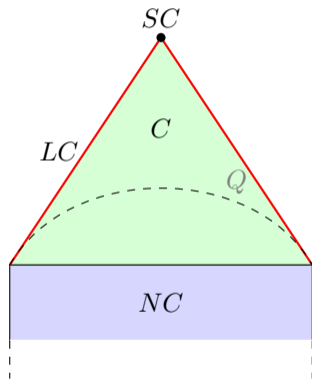
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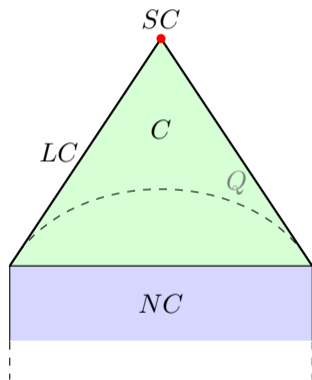
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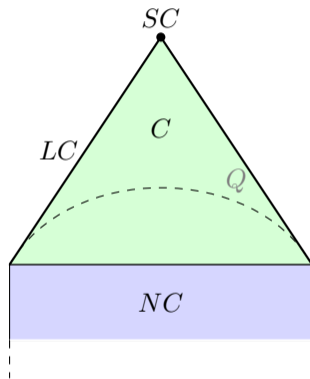
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Key question: find compelling principles to explain why Nature picks out the quantum set.

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**(Probabilistic) Contextuality:** relative interior

**Logical Contextuality:**

faces

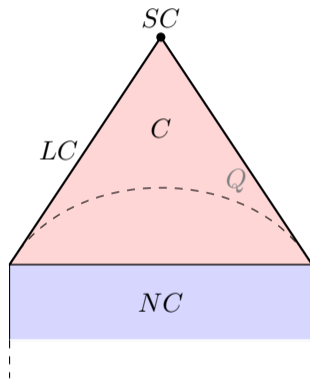
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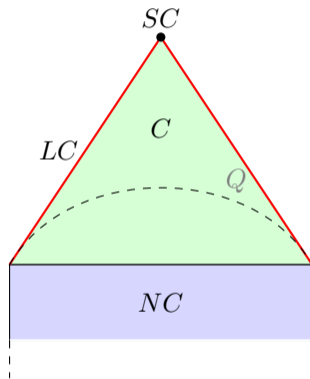
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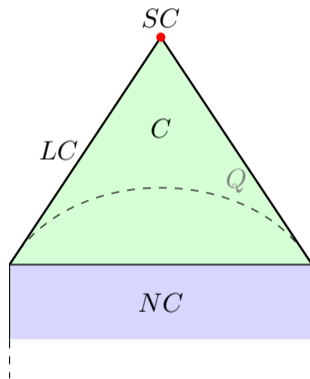
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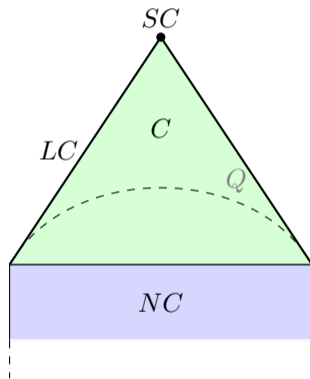
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- Even more spectacularly, we have the  $MIP^* = RE$  result of Ji, Natarajan, Vidick, Wright, Yuen (2020).
- This is simultaneously a major result in complexity theory, quantum foundations, and mathematics:
  - ▶ While  $QIP = IP = PSPACE$ , allowing multiple quantum provers sharing entangled states allows all semidecidable problems to be represented (e.g. halting problem, provability of arithmetical statements).
  - ▶ The Tsirelson conjecture is refuted (in infinite dimensions). Commuting subalgebras cannot be represented on tensor products in general.
  - ▶ The Connes Embedding Problem is answered in the negative.

## Quantifying contextuality: the contextual fraction

We look for a convex decomposition

$$e = \lambda e^{NC} + (1 - \lambda)e' \tag{1}$$

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1. Computable by a linear program.
2. The normalised violation by  $e$  of any Bell inequality is at most  $\text{CF}(e)$ ;
3. this bound is attained, *i.e.* there exists a Bell inequality whose normalised violation by  $e$  is  $\text{CF}(e)$ ;
4. moreover, for any decomposition of the form  $e = \text{NCF}(e)e^{NC} + \text{CF}(e)e^{SC}$ , this Bell inequality is tight at the non-contextual model  $e^{NC}$  and maximally violated at the strongly contextual model  $e^{SC}$ .

# Computing the Contextual Fraction



## Computing the Contextual Fraction

Given a measurement scenario  $\langle X, \mathcal{M}, O \rangle$ , the **incidence matrix**  $\mathbf{M}$  has

- rows indexed by  $\langle C, s \rangle$ ,  $C \in \mathcal{M}$ ,  $s \in O^C$
- columns indexed by global assignments  $g \in O^X$

$$\mathbf{M}[\langle C, s \rangle, g] := \begin{cases} 1 & \text{if } g|_C = s \\ 0 & \text{otherwise} \end{cases} .$$

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Computing the non-contextual fraction corresponds to solving the following linear program:

$$\begin{array}{ll} \text{Find} & \mathbf{c} \in \mathbb{R}^n \\ \text{maximising} & \mathbf{1} \cdot \mathbf{c} \\ \text{subject to} & \mathbf{M} \mathbf{c} \leq \mathbf{v}^e \\ \text{and} & \mathbf{c} \geq \mathbf{0} \end{array} . \tag{2}$$

## Generalized Bell Inequalities

An **inequality** for a measurement scenario  $\langle X, \mathcal{M}, O \rangle$  given by a set of coefficients  $\alpha = \{\alpha(C, s)\}_{C \in \mathcal{M}, s \in \mathcal{E}(C)}$  and a bound  $R$ . For a model  $e$ , the inequality reads as

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It is called a **Bell inequality** if it is satisfied by any non-contextual model. If it is saturated by some non-contextual model, the Bell inequality is said to be **tight**.

## Generalized Bell Inequalities

An **inequality** for a measurement scenario  $\langle X, \mathcal{M}, O \rangle$  given by a set of coefficients  $\alpha = \{\alpha(C, s)\}_{C \in \mathcal{M}, s \in \mathcal{E}(C)}$  and a bound  $R$ . For a model  $e$ , the inequality reads as

$$\mathcal{B}_\alpha(e) \leq R ,$$

where the left-hand side is given by

$$\mathcal{B}_\alpha(e) := \sum_{C \in \mathcal{M}, s \in \mathcal{E}(C)} \alpha(C, s) e_C(s) .$$

Wlog we can take  $R$  non-negative (in fact, we can take  $R = 0$ ).

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Whereas a Bell inequality establishes a bound for the value of  $\mathcal{B}_\alpha(e)$  amongst non-contextual models, for a general no-signalling model  $e$ , this quantity is limited only by

$$\|\alpha\| := \sum_{C \in \mathcal{M}} \max \{ \alpha(C, s) \mid s \in \mathcal{E}(C) \}$$

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### Definition

The **normalised violation** of a Bell inequality  $\langle \alpha, R \rangle$  by an empirical model  $e$  is the value

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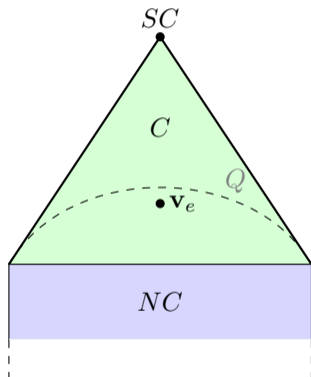
*Let  $e$  be an empirical model. Its normalised violation of any Bell inequality is at most  $\text{CF}(e)$ .*

## Proposition

*Let  $e$  be an empirical model. Then there is a Bell inequality whose normalised violation by  $e$  is exactly  $\text{CF}(e)$ . Moreover, this Bell inequality is tight at the non-contextual model  $e^{NC}$ .*

# Quantifying Contextuality & Bell Inequalities

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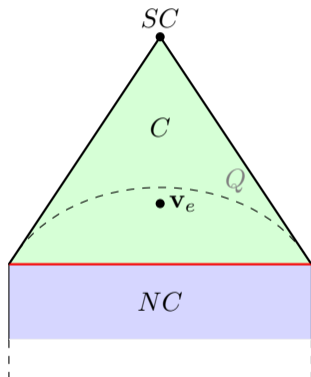


$$\begin{aligned} &\text{maximise} && \mathbf{1} \cdot \mathbf{x} \\ &\text{subject to} && \mathbf{M}\mathbf{x} \leq \mathbf{v}_e \\ &\text{and} && \mathbf{x} \geq \mathbf{0} \end{aligned}$$

Setting  $\lambda = \mathbf{1} \cdot \mathbf{x}^*$

$$e = \lambda e_{\text{NC}} + (1 - \lambda) e_{\text{SC}}$$

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Dual program:

$$\begin{aligned} &\text{minimise} && \mathbf{y} \cdot \mathbf{v}_e \\ &\text{subject to} && \mathbf{M}^T \mathbf{y} \geq \mathbf{1} \\ &\text{and} && \mathbf{y} \geq \mathbf{0} \end{aligned}$$

computes tight Bell inequality (separating hyperplane)

# Contextuality and quantum advantage

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$$\overbrace{1 - \bar{p}_S}^{\text{error}} \geq \underbrace{[1 - \text{CF}(e)]}_{\text{classicality}} \overbrace{\nu(f)}^{\text{hardness}}$$

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The same quantitative relationship arises for

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Where the “line in the sand” is drawn separating quantum advantage from efficient classical simulability is still unclear.

# Contextuality and quantum advantage with shallow circuits

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- With a two-stage query construction, this works for any choice of measurements. For the case of Weyl operators, a one-stage construction a la BGK is recovered.
- This provides a basis for a broader study of how to transform contextuality arguments systematically into instances of quantum advantage. Other promising areas where these ideas can be applied are communication complexity, and VQE solvers.